

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
08CL7494-1

In Re Application Of: **Boden, et al.**

| Serial No. | Filing Date     | Examiner | Group Art Unit |
|------------|-----------------|----------|----------------|
| 09/682,286 | August 14, 2001 | Shameem  | 1626           |

**Title: METHOD AND APPARATUS FOR PREPARING A DIALKYL CARBONATE  
AND ITS USE IN THE PREPARATION OF DIARYL CARBONATES AND POLYCARBONATES**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);

**OR**

- the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.  
 The Director is hereby authorized to charge and credit Deposit Account No. **07-0862**  
as described below.  
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I certify that this document and fee is being deposited on **September 25, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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\_\_\_\_\_  
Signature of Person Mailing Correspondence

Tracy A. Axiak

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*Patricia S. DeSimone*  
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Patricia S. DeSimone  
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Dated: **September 25, 2003**

CC:

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

SEP 29 2003

|  |                                  |
|--|----------------------------------|
| Docket Number (Optional)<br>08CL7494-1 | Application Number<br>09/682,286 |
| Applicant(s)<br>Boden, et al.          |                                  |
| Filing Date<br>August 14, 2001         | Group Art Unit<br>1626           |

| *EXAMINER<br>INITIAL | RELEVANT OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)  |
|----------------------|--|
| A                    | Letter to Mr. Rinaldo Pelaia at EniChem SpA from Frank A. Smith at General Electric Company dated May 9, 2002. (2 pgs.)                                      |
| B                    | Letter to Mr. Frank A. Smith at General Electric Company from Paolo Cortesi at Polimeri Europa dated May 21, 2002. (1 pg.)                                   |
| C                    | Letter to Mr. Paolo Cortesi at Polimeri Europa from Frank A. Smith at General Electric Company dated May 22, 2002. (3 pgs.)                                  |
| D                    | Fax to Mr. Frank A. Smith at General Electric Company from Paolo Cortesi at Polimeri Europa dated June 10, 2002 (2 pgs.)                                     |
| E                    | Letter to Mr. Paolo Cortesi at Polimeri Europa from Frank A. Smith at General Electric Company dated August 1, 2002. (3 pgs.)                                |
| F                    | E-mail to Mr. Frank A. Smith (frank1.smith@gepex.ge.com) at General Electric Company from Paolo Cortesi at Polimeri Europa SpA dated August 1, 2002. (1 pg.) |
| G                    | Letter to Mr. Paolo Cortesi at Polimeri Europa from Frank A. Smith at General Electric Company dated August 27, 2002. (2 pgs.)                               |
| H                    | Letter to Mr. Frank A. Smith at General Electric Company from Paolo Cortesi at Polimeri Europa dated September 13, 2002. (2 pgs.)                            |
| I                    | Letter to Mr. Paolo Cortesi at Polimeri Europa from Frank A. Smith at General Electric Company dated October 22, 2002. (3 pgs.)                              |
| J                    | Fax to Mr. Frank A. Smith at General Electric Company from Paolo Cortesi at Polimeri Europa dated December 19, 2002. (2 pgs.)                                |
| K                    | Letter to Mr. Paolo Cortesi at Polimeri Europa from Frank A. Smith at General Electric Company dated February 13, 2003. (2 pgs.)                             |
| L                    | Fax to Mr. Frank A. Smith at General Electric Company from Paolo Cortesi at Polimeri Europa dated February 28, 2003 (9 pgs.)                                 |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## OTHER DOCUMENTS

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\*EXAMINER  
INITIAL

|   |   |  |
|---|---|--|
|   |   |  |
| M | Letter to Mr. Paolo Cortesi at Polimeri Europa from Frank A. Smith at General Electric Company dated March 3, 2003 (1 pg.)                        |  |
| N | Letter to Mr. Paolo Cortesi at Polimeri Europa from Frank A. Smith at General Electric Company dated March 17, 2003 (2 pgs.)                      |  |
| O | Fax to Mr. Frank A. Smith at General Electric Company from Paolo Cortesi at Polimeri Europa dated June 10, 2003. (2 pgs.)                         |  |
| P | Letter to Mr. Paolo Cortesi at Polimeri Europa from Frank A. Smith at General Electric Company dated June 16, 2003. (2 pgs.)                      |  |
| Q | Fax to Mr. Frank A. Smith at General Electric Company from Paolo Cortesi at Polimeri Europa dated July 10, 2003. (2 pgs.)                         |  |
| R | Letter to Mr. Paolo Cortesi at Polimeri Europa from Frank A. Smith at General Electric Company dated July 15, 2003. (1 pg.)                       |  |
| S | E-mail from Daniele Delledonne to Robert Tatterson... dated September 21, 1999 and attachment (Novara report dated September 21, 1999). (4 pgs. ) |  |
| T | Page from Notebook of Jan van den Heijkant dated 9 sep '99. Page 14   |  |
| U | Page from Notebook of Jan van den Heijkant dated (October 7, 1999). Page 52   |  |
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